

**Big idea:** Knowing the relationship between bases, dimensionality, and independence of vectors gives us information about solution sets of homogeneous linear systems, and vice versa.

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## 4.4: Bases and Dimensions for Vector Spaces

Solution sets of homogeneous systems can be succinctly represented as a set of vectors, whose linear combinations give all possible solutions. We call this set a **basis**.

Let vectors  $S = \{\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n\}$  exist in the vector space  $V$ .

**Basis:**  $S$  is called a basis for  $V$  if the vectors in  $S$  are linearly independent, and span  $V$ .

**Standard Basis for  $\mathbb{R}^n$ :**  $\vec{e}_1 = (1, 0, 0, \dots, 0)$ ,  $\vec{e}_2 = (0, 1, 0, 0, \dots, 0)$ ,  $\dots$ ,  $\vec{e}_n = (0, 0, \dots, 1)$ .

**Sufficient Vectors for Basis Theorem:** Any set of  $n$  linearly independent vectors in  $\mathbb{R}^n$  is a basis for  $\mathbb{R}^n$ .

**Proof:** Let  $\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n$  be  $n$  linearly independent vectors in  $\mathbb{R}^n$ .

From previous section, we know that any set of more than  $n$  vectors in  $\mathbb{R}^n$  is linearly dependent.

Therefore, given any vector  $\vec{w}$  in  $\mathbb{R}^n$ , there exist scalars  $c, c_1, c_2, \dots, c_n$  not all zero such that:

$$c\vec{w} + c_1\vec{v}_1 + c_2\vec{v}_2 + \dots + c_n\vec{v}_n = \vec{0}.$$

If  $c$  were zero, then this equation would imply that  $\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n$  are linearly dependent.

Therefore,  $c \neq 0$ . So, this equation can be solved for  $\vec{w}$  as a linear combination of  $\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n$ .

Thus, the linearly independent vectors  $\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n$  also span  $\mathbb{R}^n$  and constitute a basis for  $\mathbb{R}^n$ . ■

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## Vector Space Dimensions

The **dimension of a vector space** is the number of vectors in its basis.

**Bases as Maximal Linearly Independent Sets Theorem:** If you have a **basis**  $S$  ( for  $n$ -dimensional  $V$  ) consisting of  $n$  vectors, then any set  $S'$  having more than  $n$  vectors is linearly dependent.

**Dimension of a Vector Space Theorem:** Any two bases for a vector space have the same number of vectors.

**Proof:** Let  $S := \{\vec{v}_1, \vec{v}_2, \dots, \vec{v}_n\}$  and  $T := \{\vec{w}_1, \vec{w}_2, \dots, \vec{w}_m\}$  be two different bases for the same vector space  $V$ .

Because  $S$  is a basis and  $T$  is linearly independent, the previous theorem implies  $m \leq n$ .

Next, since  $T$  is a basis and  $S$  is linearly independent:  $n \leq m$ .

So:  $m = n$ . ■

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### Infinite Dimensional Vector Space $P$

Polynomials of the form:  $p(x) = a_0 + a_1x + a_2x^2 + \dots + a_nx^n$ .

Example vectors in  $P$  :  $\{0, x, -7, 2 + x^4, 7 + x - x^{13}\}$ .

Easily shown that  $P$  is a vector space.

Note that one basis for polynomials is  $\{p_1, p_2, p_3, \dots\} = \{1, x, x^2, \dots\}$ ,

and all other bases have the same number of elements (Dimension of a Vector Space Theorem).

The dimension cannot be finite.

**Proof:** Proof by contradiction. Assume  $\dim(P) = n < \infty$ . So there are  $n$  vectors  $B = \{p_1, p_2, \dots, p_n\}$  in the basis.

Observe that the degree of any linear combination of the  $p_i$  is at most the maximum of their degrees.

Assume this maximum is  $m$ .

Observe that the polynomial  $x^{m+1}$  is in  $P$ , and can't be formed by a linear combination of the  $p_i$ .

So  $B$  can't be the basis for  $P$ , and our assumption that  $P$  is finite dimensional was incorrect. ■

A nonzero vector space that has no finite basis is called **infinite dimensional**.

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### Relationship between Spanning/Independence/Bases

Let  $V$  be an  $n$ -dimensional vector space and let  $S$  be a subset of  $V$ . Then:

- ◆ If  $S$  is linearly independent and consists of  $n$  vectors, then  $S$  is a basis for  $V$ . (we have enough vectors)
- ◆ If  $S$  spans  $V$  and consists of  $n$  vectors, then  $S$  is a basis for  $V$ . (we don't have too many vectors)
- ◆ If  $S$  is linearly independent, then  $S$  is contained in a basis for  $V$ . (we may need more vectors)
- ◆ If  $S$  spans  $V$ , then  $S$  contains a basis for  $V$ . (we may have too many vectors)

### Finding the Solution Space Basis

Given the homogeneous linear equation  $\mathbf{A}\vec{x} = \vec{0}$ , to find the solution space  $W$  we:

- ◆ Reduce the coefficient matrix  $\mathbf{A}$  to echelon form.

◆ Identify the  $r$  leading variables  $(x_1, \dots, x_r)$  and

the  $k = n - r$  free variables  $(x_{r+1}, \dots, x_n)$ . If  $k = 0$ , then  $W = \{\vec{0}\}$ .

◆ Set the free variables equal to parameters  $t_1, t_2, \dots, t_k$ .

◆ Solve by back substitution for the leading variables in terms of these parameters.

◆ For each  $1 \leq j \leq k$ , let  $\vec{v}_j$  be the solution vector obtained by setting  $t_j = 1$ , and the other parameters equal to zero.

$\{\vec{v}_1, \vec{v}_2, \dots, \vec{v}_k\}$  is a basis for the solution space  $W$ .

**Video Tutorial** (visually rich and intuitive): <https://youtu.be/kYB8IZa5AuE>

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## Exercises

**Problem: #7** Determine whether or not the given vectors in  $\mathbb{R}^4$  form a basis for  $\mathbb{R}^4$ .

$$\vec{v}_1 = (2, 0, 0, 0), \quad \vec{v}_2 = (0, 3, 0, 0), \quad \vec{v}_3 = (0, 0, 7, 6), \quad \vec{v}_4 = (0, 0, 4, 5)$$

$$\begin{vmatrix} 2 & 0 & 0 & 0 \\ 0 & 3 & 0 & 0 \\ 0 & 0 & 7 & 4 \\ 0 & 0 & 6 & 5 \end{vmatrix} = 2 \cdot 3(35 - 24) = 66 \neq 0.$$

So the four vectors (same number as  $\dim(\mathbb{R}^4)$ ) are **linearly independent**, and hence do form a basis for  $\mathbb{R}^4$ .

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**Problem: #13** Find a basis for the **subspace** of  $\mathbb{R}^4$  which consists of vectors of the form  $(a, b, c, d)$  such that  $a = 3c$  and  $b = 4d$ .

Can be written as...  $\vec{v} = (3c, 4d, c, d)$

$$= c(3, 0, 1, 0) + d(0, 4, 0, 1).$$

So let:  $\vec{v}_1 = (3, 0, 1, 0)$  and  $\vec{v}_2 = (0, 4, 0, 1)$ .

And a basis is  $\{\vec{v}_1, \vec{v}_2\}$ .